



# Account Change Form

Please print clearly in blue or black ink and in all capital letters.

For help completing this Form, call toll-free at 1-877-432-7444, Monday - Friday, 8 a.m. to 10 p.m. Central Time, or contact your financial professional.

These requests cannot be made for entity, trust, minor-owned or UTMA/UGMA accounts.

Entity owners can only change the Beneficiary. Please call to obtain information about documentation requirements.

## 1. Current Account Information

Owners Name

Beneficiary's Name

Owner's Social Security Number

□□□-□□-□□□□

Investment Option Number

□□□□

Account Number

□□□□□□□□□□

## 2. Requested Action

### Account Owner Change

You want to change the Account Owner while keeping the same Beneficiary on the Account.

- A transfer of ownership is irrevocable and transfers all rights, title, interest and power over the Account to the new Account Owner.
- The existing Account Owner should consult with a tax advisor concerning the potential income, gift and estate tax consequences of a transfer of ownership before signing and submitting this Form. The tax consequences associated with a transfer of ownership are uncertain.
- The new Account Owner cannot make a withdrawal within 30 days of the day the Program records the Account Owner change unless the withdrawal request is signature guaranteed by the new Account Owner.
- The new Account Owner cannot change the investment option during the current calendar year if the former Account Owner changed the investment option during the current calendar year.
- The new Account Owner must sign below acknowledging the rules above. The existing Account Owner must sign in Section 4.
- A completed Account Application signed by the new Account Owner **must** be submitted along with this Account Change Form.

**New Account Owner Signature**

**Date**

### Beneficiary Change

Change the Beneficiary on an existing Program Account or transfer a portion of your assets from one Program Account to another Program Account.

- The new Beneficiary must be a "member of the family" of the current Beneficiary as defined by Section 529 of the Internal Revenue Code.
- Transfers will be permitted up to the maximum account balance limit. Any amount in excess will remain within the existing Account.

**Transfer Amount: (check appropriate one)**

#### Change Beneficiary on Existing Account

The Account in Section 1 will be closed and the entire balance will be transferred to a new Account in the same investment option owned by you for your new Beneficiary. You must complete Section 3.

#### Transfer all or a portion of the balance to another Account for a new Beneficiary

Transfer to a different Account that either you or someone else owns for a new Beneficiary. If you are not transferring the entire account balance, the remainder of the assets will be left in the existing Beneficiary's Account.

Transfer from the Account identified in Section 1 to the following Account(s). **If the Account you want to transfer into is not yet established, do not enter the account number but check the last box and attach a completed Account Application.**

% of Account Balance		Dollar Amount	Transfer to Account Number	Check if New Account
%		\$ .00		<input type="checkbox"/>
%	OR	\$ .00		<input type="checkbox"/>
%		\$ .00		<input type="checkbox"/>
%		\$ .00		<input type="checkbox"/>

